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7590

09/10/2004

David A. Kalow
Kalow & Springut LLP
488 Madison Avenue
19th Floor

New York, NY 10022

12/14/2004 HVUONG2 00000087 110171 09838119

01 FC:1504 300.00 DP
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| | |
|-------------------------|--------------------|
| <u>David A. Kalow</u> | (Depositor's name) |
| <u>David A. Kalow</u> | (Signature) |
| <u>December 8, 2004</u> | (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|--------------------------|---------------------|------------------|
| 09/838,119 | 04/20/2001 | Adolf Schafer-Sindlinger | 33766W026 | 8727 |

TITLE OF INVENTION: PROCESS AND CATALYST FOR REDUCING NITROGEN OXIDES

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO | \$1330 | \$300 | \$1630 | 12/10/2004 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|---------------------|----------|----------------|
| STRICKLAND, JONAS N | 1754 | 423-239200 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Kalow & Springut LLP

2. _____

3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

UMICORE AG & Co. KG

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Hanau-Wolfgang, Germany

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 11-0171 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

William D. Schmidt

Date

December 8, 2004

Typed or printed name

William D. Schmidt, Esq.

Registration No.

39,492

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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PATENT



13318US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Schäfer-Sindlinger et al. Examiner: Jonas N. Strickland
Serial No.: 09/838,119 Group Art Unit: 1754
Filed: April 20, /2001
For: A Process and Catalyst for Reducing Nitrogen Oxides
Customer No.:

23719

PATENT TRADEMARK OFFICE

Kalow & Springut LLP
488 Madison Avenue, 19th Floor
New York, New York 10022

December 8, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Mail Stop Issue Fee

TRANSMITTAL OF ISSUE FEE

Sir:

A check for the amount of \$1,670.00 is submitted herewith to satisfy a large entity level issue fee. Please charge any further deficiency or credit any overpayment to deposit account No. 11-0171.

If there are any questions regarding this matter that need to be resolved, the Examiner is respectfully invited to contact the applicants' attorney at the number given below. Thank you for your time and attention to this matter.

Respectfully submitted,

William D. Schmidt
Registration No.: 39,492
Attorney for Applicant

Telephone No.: (212) 813-1600

Certificate of Mailing Under 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited on the date shown below with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sylvia A. Gonzalez
December 8-2004